Active Living Research

Health Impact Assessment Workshop







San Diego, CA • February 22-24, 2011

Introductions



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- Kim Gilhuly Human Impact Partners
- Sean Co Metropolitan Transportation Commission

Today's agenda



3:45	Introduction to HIA	
4:00	Case study 1: San Francisco Road Pricing HIA	
4:25	Case study 2: Bay Area Metropolitan Transportation Commission – Developing an Active Transportation Performance Target	
4:50	How to use results to impact policies	
5:00	Screening Exercise	



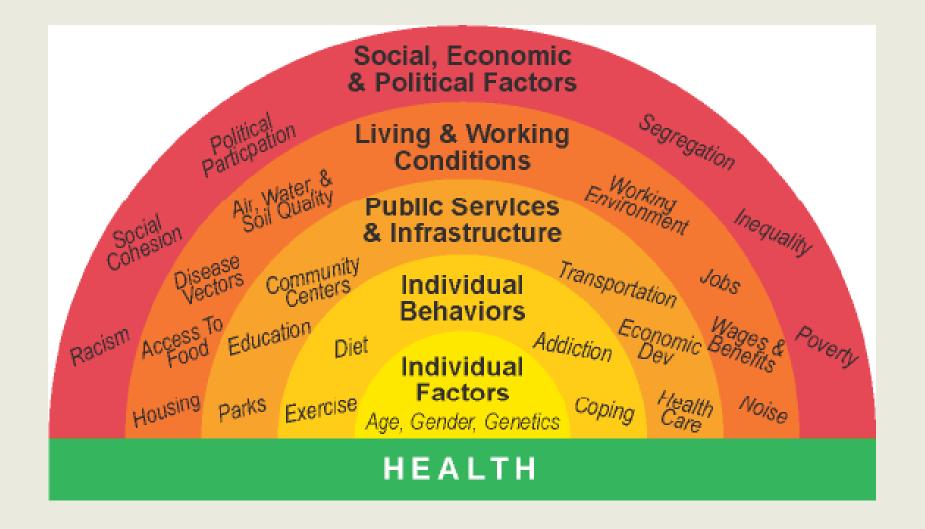
Introduction to Health Impact Assessment

Health is a state of complete physical, mental and social well-being and not merely the absence of disease or infirmity.

Factors Responsible for Population Health



Health status is determined by: genetics (5%), health care (10%), behavior (30%), Social Conditions (55%) *



Incorporating Health into Decision-Making



The world would look different



Development



Farm Policy



Incarceration

Immigration



Ports



Education



Why Health?



Augmenting current decision-making processes

Externalities

Disparities



A health frame can be persuasive

People understand health personally
Health is an indicator of quality of life and well-being
Health is a shared value

People are morally outraged by health inequities

HIA Definition



Health Impact Assessment

A combination of procedures, methods and tools that systematically judges the potential, and sometimes unintended, effects of a policy, plan, program or project on the health of a population and the distribution of those effects within the population. HIA identifies appropriate actions to manage those effects.

International Association for Impact Assessment, 2006

HIA Addresses Determinants of Health



How does the proposed project, plan, policy

Social, Economic & Political Factors

Political on Living & Working Conditions

Public Services & Infrastructure

Individual Behaviors

Individual Factors Age, Gender, Genetics

HEALTH

and lead to health outcomes

HIA Purpose



Through HIA reports and communications

Judge health effects of a proposed project, plan or policy

Highlight health disparities

Provide recommendations

Shape public decisions & discourse

Make health impacts more explicit



Through the HIA process

Engage & empower community
Emphasize everyday experience
Build consensus

Build relationships & collaborations

A Brief History of HIA

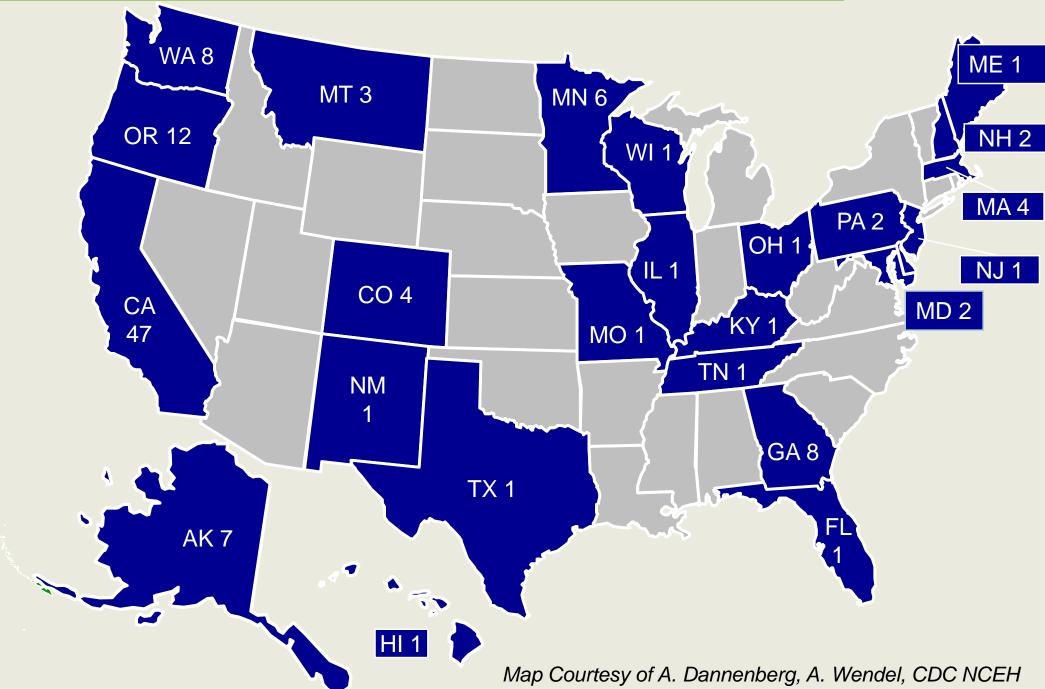


1969	National Environmental Policy Act (NEPA) requires study of environmental & health effects (however, health impacts have not been adequately addressed in EIA)	
1980s	WHO encourages Health Promotion/Healthy Public Policy in 1986 Ottawa Charter	
1990s	England, Acheson Report recommends analysis of impacts of policy on health inequities	
	WHO publishes Gothenburg Consensus Paper on HIA	
	First HIA in US (SFDPH, Living Wage)	
2000s	World Bank requires HIA of all large projects	
	HIA on proposed Alaska North Slope Oil Lease (first integrated HIA into federal EIA)	
	Large industry increasingly adopts internal standards for HIA as good business practice	
2010s	HIA used around the world and, recently, across the U.S.	
	North American HIA Practice Standards Released	

HIA continues to gain momentum

119 HIAs Completed or In Progress





HIA as a Collaborative Process



Why engage others in the HIA process?

Broad range of people affected Data, information, resources Relationship building Capacity for advocacy Empowerment

Who has engaged?

Community organizations
Advocacy groups
Research groups
Academics
Public health agencies
Planning, regulatory, and other agencies
Elected officials
Private industry and developers



Roles in HIA



Screening	Identify and prioritize topics for HIAs	
Scoping	Identify health issues to be studied	
	Prioritize research questions	
Assessment	Conduct literature reviews	
	Research existing conditions data	
	Conduct surveys, interviews, focus groups	
	Conduct data analysis	
	Interpret and ground truth data	
Recommendations	Identify and prioritize recommendations	
Reporting	Write, review and edit final report	
	Develop a communication, media and advocacy plan to report findings to decision-makers	
Monitoring	Collect data on impacts	
	Hold decision-makers accountable for decision agreements and mitigations	

Addressing HIA "Sticking Points"



What do the critics say about HIA?

What are some of the barriers and solutions to implementing a HIA practice?

How do HIA and advocacy fit together?

What the Critics Say



Criticism	Response	
HIA is costly	Not as costly as treatment of health impacts in the long run	
HIA is time- consuming and will slow decision- making processes	Conducting the HIA early will bring issues to the front of the decision-making process, potentially speeding approval processes and preventing costly litigation that delays projects	
HIA will stop economic development	The role of HIA is to identify mitigations and recommendations, not to say "don't do that"	
HIA is not scientific	Role of HIA is to pull together disparate pieces of evidence to make a broad statement about impacts	

Barriers and Solutions



Barrier	Example solution	
No funding for HIA	Use funding sources creatively	
	Need a champion decision-maker	
	Need examples from other places	
	Need successful case study	
Board of Supervisors will	Role of public health agency is to protect the public health	
be upset by public health department's HIA work	Staff do not have to take an advocacy position, but can weigh in with evidence and data	
	Certain issues are not thought of as "advocacy" (e.g., tobacco and breastfeeding)	
There is not enough evidence to demonstrate health impacts	Disparate, single-issue focused evidence exists in public health literature, especially built environment-related Role of HIA is pull this together and make a holistic statement about health and health inequities	

HIA and Advocacy/ Opportunities for Collaboration



There are different roles in HIA and not all partners must do all aspects of the HIA.

Transit-Oriented Development HIA

Community group: Held meeting with public agencies and city and provided community education; Advocated passage of recommendations using HIA findings

Health Department: Testified about health impacts

HIP: Presented to community about HIA

Redevelopment HIA

Community group: Wrote and distributed press release organized residents to come to city council meetings

Health Department: Wrote letter to City Council about health impacts

HIP: Held meetings with redevelopment and advocated for health analysis in EIS



Case Studies

Typical Contexts for HIA



Voluntary

Initiated by public health practitioner, policy advocate, affected stakeholders, responsible public agency, or policy-maker

Regulatory

Required by project specific legislation, to comply with Environmental Impact Assessment (EIA) requirements, or other HIA regulation

The National Environmental Policy Act (NEPA) is the only regulatory requirement for conducting health analyses in public decisions. Historically, EIAs have not done this well.

HIA is used to influence decision-making processes within or outside of the EIA process.

Intervention points



- Within policy processes
 - When drafting policies
 - During committee hearings/votes
 - During main votes
- Within Environmental Impact Reports or Statements
 - Ask for health or an HIA to be part of the EIR/EIS (included in RFP)
 - When EIR/EIS public scoping is taking place
 - During public comment on Draft EIR/EIS

HIA Reporting Formats



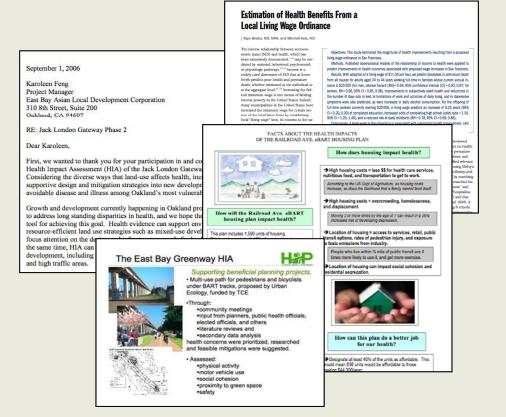
Letters to proponents & decision-makers

Comment letters on draft EIAs

Formal report

Presentations

Peer-reviewed publications



Examples of use and outcomes of HIAs



- Examples:
 - Pittsburg CA TOD HIA
 - Trinity Plaza HIA
 - Paid Sick Days HIA

The HIA Process





Step 1: Screening



Objective

To decide whether a HIA is feasible, timely, and would add value to the decision-making process.

HIA is used to assess a defined project, plan, or policy
Have sufficient info about decision
Understand timing
Evaluate decision openness
Assess feasibility
Be inclusive
Avoid redundance

When is a HIA carried out?



The purpose of HIA is to inform decision-makers before they make decisions.

A HIA is most often carried out prospectively before the decision is made or the policy is implemented.

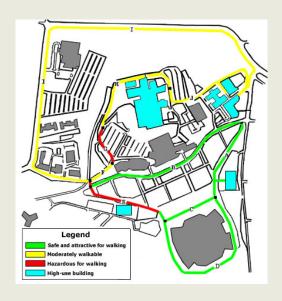


HIA is used to assess a defined project, plan or policy

Why NOT do a HIA? Example 1



A plan to improve walkability in Chula Vista, CA



Plan was already considering health

Little opportunity to develop useful recommendations

Health advocates involved in design

Resources better focused elsewhere

Why NOT do a HIA? Example 2



Proposed WalMart distribution center, Merced, CA



Idea for the HIA came just before final EIA was released

Elected officials not open to considering health

Health advocates recommendations were being ignored

Resources better used to explore legal options and support the election of more health focused officials

HIA Screening Worksheet



	Screening Questions	Response and Supporting Facts
1.	Has the policy been proposed, or is it likely to be proposed (in the next two years)? Has a final decision about whether to adopt the proposal not been made and is there sufficient time to conduct an analysis before the decision is made?	
2.	Does the decision have the potential to affect, positively or negatively, environmental or social determinants of health that impact health outcomes of a population? Would those health impacts be considered without an HIA?	
3.	Are decision-makers and/or those stakeholders who have the capacity to influence decision-makers likely to use HIA findings and recommendations to inform or influence the decision? Is the debate around the policy highly polarized?	
4.	Could the proposal being considered potentially impact health inequities? Would one scenario affect vulnerable populations more than another?	
5.	Do evidence, expertise, data and research methods exist to analyze health impacts associated with the policy decision?	
6.	Are the proposal's impacts on health outcomes potentially significant in terms of the number of people impacted, the magnitude of impacts, and the breadth and immediacy of impacts?	
7.	Are the connections between the proposal and health outcomes neither too obvious nor too indirect?	
8.	If applied, would HIA findings and recommendations potentially improve the impact that the policy has on health?	
9.	Would the information produced in the HIA of the policy be applied to future policy development in the same area? Would the HIA serve as an appropriate model for improving the health outcomes related to budget policies?	
11.	What are some barriers to timely completion of the HIA on the policy that you might anticipate?	

HIA Learning Resources



- HIA trainings
 - SFDPH: July 24-28, 2011 in Oakland, CA
 - http://www.sfphes.org/HIA_Training.htm
 - Contact: hiacourse.dph@sfdph.org or call 415-252-3919
 - HIP Action Trainings 2 day HIA trainings at your site; contact HIP at 510-452-9442 ext. 102.
 - On-line resources see final slide
- HIA Screening resources
 - Screening White Paper
 - Screening Worksheet
- 2 page summaries of steps of HIA: http://www.humanimpact.org/capacity-building

HIA Tools and Resources



A Health Impact Assessment Toolkit: A Handbook to Conducting HIA, 2nd Edition

http://www.humanimpact.org/component/jdownloads/finish/11/81

Human Impact Partners HIA resources

http://www.humanimpact.org/hips-hia-tools-and-resources

San Francisco Department of Public Health

www.sfphes.org

Wisconsin DHS HIA Toolkit

http://www.dhs.wisconsin.gov/hia/survey/index.htm

Health Impact Project (Pew & RWJF)

www.healthimpactproject.org

UCLA HIA Clearinghouse

http://www.hiaguide.org